

0400

Receipt



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Michael J. Briskin, Douglas J. Ringler, Dominic Picarella  
and Walter Newman

Application No.: 08-875,849 Group: 1644

Filed: September 8, 1997 Examiner: R. Schwadron

For: MUCOSAL VASCULAR ADDRESSINS AND USES THEREOF

RECEIVED  
TECH CENTER 20020320

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202

on 4-16-02

Date

Danielle D. Gath

Signature

Danielle D. Gath

Typed or printed name of person signing certificate

REQUEST FOR CORRECTED FILING RECEIPT  
FOR UTILITY APPLICATION

Office of Initial Patent Examination  
Customer Service Center  
Assistant Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

Sir:

We hereby request that the following items of information be corrected in the Filing Receipt for the subject application received in this office on April 2, 2002.

The errors and corrections appear below:

**In Entity Status, delete "\*\*\*SMALL ENTITY\*\*\*".**

Enclosed is a copy of the Filing Receipt with changes noted in red. Also enclosed in support of the correction is a copy of a Continued Prosecution Application (CPA) Request Transmittal which was filed on November 19, 2001 via facsimile. At page 2 of the Transmittal, box 6(c) is checked to indicate that small entity status is no longer claimed in the subject application.

Pursuant to instructions in the February 29, 2000 O.G., we hereby request that the errors which are identified above be corrected in the captioned application to which this request for correction is directed. It is understood that the Patent Office will issue an automatically-generated, corrected Filing Receipt in this and, if applicable, any other affected applications.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By *Helen E. Wendler*

Helen E. Wendler

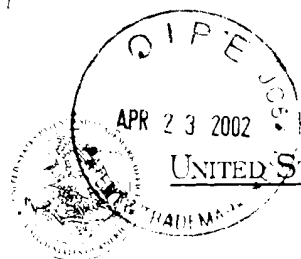
Registration No.: 37,964

Telephone: (978) 341-0036

Facsimile: (978) 341-0136

Concord, MA 01742-9133

Dated: *April 16, 2002*



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20503  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
08/875,849	09/08/1997	1644	3671	LKS94-04A2	20	29	9

CONFIRMATION NO. 4411

CORRECTED FILING RECEIPT



06000000007729547\*

21005

HAMILTON, BROOK. SMITH & REYNOLDS, P.C.  
530 VIRGINIA ROAD  
P.O. BOX 9133  
CONCORD, MA 01742-9133

RECEIVED  
MAY 14 2002  
TECH CENTER 1600-2900

Date Mailed: 03/27/2002

Receipt is acknowledged of a CPA in this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

MICHAEL J. BRISKIN, LEXINGTON, MA;  
DOUGLAS J. RINGLER, REVERE, MA;  
DOMINIC PICARELLA, SUDBURY, MA;  
WALTER NEWMAN, BOSTON, MA;

**Domestic Priority data as claimed by applicant**

THIS APPLICATION IS A 371 OF PCT/US96/02153 02/12/1996  
AND A CIP OF 08/523,004 09/01/1995

**Foreign Applications**

If Required, Foreign Filing License Granted 11/27/2001

CPA filed on: 11/19/2001

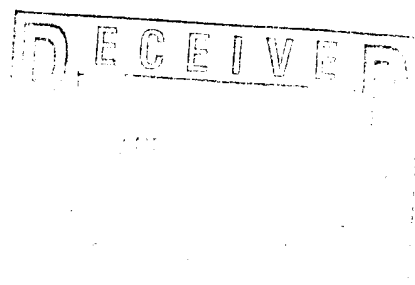
Projected Publication Date: 03/07/2002

Non-Publication Request: No

Early Publication Request: No

**\*\*SMALL ENTITY\*\***

Title



## MUCOSAL VASCULAR ADDRESSINS AND USES THEREOF

**Preliminary Class**

424

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

COPY

CONTINUED PROSECUTION APPLICATION (CPA)  
REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing

(Only for Continuation or Divisional applications under 37 C.F.R. 1.53(d))

CHECK BOX, if applicable:

☐ DUPLICATE

Address of

APR 23 2002

Assistant Commissioner for Patents  
Box CPA  
Washington, DC 20231Attorney Docket No.  
of Prior Application

1855.1004-002 (LKS94-04A2)

First Named Inventor

Michael J. Briskin

Examiner Name

R. Schwadron

Group Art Unit

1644

Express Mail Label No.

This is a request for a ☒ continuation or ☐ divisional application under 37 C.F.R. 1.53(d) (continued prosecution application (CPA)) of prior application number 08 875,849, filed on September 8, 1998, entitled Mucosal Vascular Addressins and Uses Therefor

NOTES

**FILING QUALIFICATIONS:** The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 C.F.R. §1.51(b), or (2) that national stage of an international application in compliance with 35 U.S.C. 371. A Notice will be placed on a patent issuing from a CPA, except for reissues and designs, to the effect that the patent issued on a CPA and is subject to the twenty-year patent term provisions of 35 U.S.C. § 154(a)(2). Therefore, the prior application of a CPA may have been filed before, on or after June 8, 1995.

**C-I-P NOT PERMITTED:** A continuation-in-part application cannot be filed as a CPA under 37 C.F.R. § 1.53(d), but must be filed under 37 C.F.R. § 1.53(b).

**EXPRESS ABANDONMENT OF PRIOR APPLICATION:** The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 C.F.R. §1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

**ACCESS TO PRIOR APPLICATION:** The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 C.F.R. 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

**35 U.S.C. 120 STATEMENT:** In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 C.F.R. § 1.78(a).

1. ☐ Enter the unentered amendment previously filed on ☐ under 37 C.F.R. § 1.116 in the prior nonprovisional application.
2. ☒ A preliminary amendment is enclosed.
3. ☐ This application is filed by fewer than all the inventors named in the prior application, 37 C.F.R. § 1.53(d)(4).
  - a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:  
[ ]
  - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent is enclosed.
5. ☐ Information Disclosure Statement (IDS) is enclosed:
  - a. ☐ PTO-1449
  - b. ☐ Copies of IDS Citations

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS <small>(37 CFR 1.16(a))</small>		63 - 20* =	43	x \$ 18 =	\$ 774
INDEPENDENT CLAIMS <small>(37 CFR 1.16(b))</small>		11 - 3** =	8	x \$ 84 =	\$ 672
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))				+ \$ 280 =	\$
				BASIC FEE <small>(37 CFR 1.16(a)(1))</small>	\$ 740
Total of above Calculations =					\$ 2186
Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =					\$
TOTAL =					\$ 2186
Petition for Extension of Time Fee (37 C.F.R. 1.17) =					\$
TOTAL =					\$ 2186

**COPY**

\* Reissue claims in excess of 20 and over original patent  
 \*\* Reissue independent claims over original patent

6. Small entity status:

- a. ☐ A small entity statement is enclosed.
- b. ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- c. ☒ Is no longer claimed.

7. ☐ A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

8. ☐ A check is enclosed for \$[ ]. ☐ Please charge \$[ ] to Deposit Account No. 08-0380.

9. ☒ New Attorney Docket No. 1855.1004-002.

10. ☒ a. ☒ Receipt for Facsimile Transmitted CPA

b. ☐ Return Receipt Postcard (Should be specifically itemized, See MPEP 503)

11. ☒ Other: Request for Corrected Filing Receipt with a copy of Filing Receipt with changes noted in red and a copy of page 1-2 of preliminary amendment, copy of Declaration Under 37 C.F.R. § 1.132 and a copy of Transmittal of Executed Declaration Under 37 C.F.R. § 1.132 with Executed Declaration, Petition for Extension of Time

and Notice of Withdrawal of General Authorization to Charge Fees

**NOTE:** The prior application's correspondence address will carry over to this CPA UNLESS a new correspondence address is provided below.

12. NEW CORRESPONDENCE ADDRESS

NAME					
ADDRESS					
CITY		STATE		ZIP CODE	
COUNTRY		TELEPHONE		FAX	

13. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Signature	<i>Robert H. Underwood</i>	Date	November 19, 2001
Submitted by	Robert H. Underwood	Reg. Number	45,170
Typed or Printed Name			